August 7, 2002

UNITED STATES PATENT AND TRADEMARK OFFICE Box 16

Washington, DC 20231

Attn: Frank Lebron Refund Department

Re:

Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of page 4 of our Deposit Account Statement of June 28, 2002. Please review the highlighted credit on Serial Number 09/497,997 in the amount of \$920.00 on fee code 117.

In response to the Office Action dated August 31, 2001, a Notice of Appeal was filed along with a two-month extension of time and check for \$720.00 (\$320.00 for the Notice of Appeal plus \$400.00 for the extension) on January 31, 2002. On March 11, 2002, an amendment was filed along with a check which included payment of \$520.00 for the third one-month extension of time The application was abandoned for failure to timely respond to the Office Action.

A petition to revive was granted (decision attached). The decision stated that the \$520.00 fee for the third one-month extension should be refunded as well as the \$320.00 paid for filing the Notice of Appeal. Therefore, our Deposit Account should be credited for the \$520.00 and the \$320.00 fees paid. The additional \$920.00 charged to the account in June should also be refunded.

Please review this file and kindly refund \$1,760.00 to our Deposit Account #150030.

If you have questions, do not hesitate to contact me. My phone number is 703-412-6296. Thank you for your assistance.

Sincerely,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Debra J. Noel

Accounting Department

Enclosures

1755 JEFFERSON DAVIS-HIGHWAY ■ FOURTH FLOOR ■ ARLINGTON, VIRGINIA 22202 ■ U.S.A. TELEPHONE: 703-413-3000 ■ FACSIMILE: 703-413-2220 ■ www.oblon.com

OBLON

SPIVAK-

**McClelland** MAIER

NEUSTADT

P.C.

ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER CONTROLLER (703) 412-6494 KMORSBERGER@OBLON.COM



# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

NORMAN F. OBLON

FINA

Account No. 150030							
Date	6-28-02	-					
Page	4						

CRYSTAL SQUARE FIVE, SUITE 400 1755 S JEFFERSON DAVIS HWY ARLINGTON VA 22202

PLEASE SEND REMITTANCES TO: Patent and Trademark Office P.O. Box 70541 Chicago, III. 60673

DAT	DATE POSTED		CONTROL	DESCRIPTION		DOCKET NO.		FEE	CHARGES/	BALANCE	
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6	13	02	29	5869376				183	880.0	25706.71	
6	13	02	30	5387124				184	2020.0		
6	13	02	31	5866358				183	880.0		
6	13	02	32	5868445				283	440.0		
6	13	02	150	854394				566	180.0		
6	14	02	2	10145731		223082USO CO		148	110.0		
6	14	02	2	09989163		BHT-3167-29		126	180.0		
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Dept.: Chemical

QSMM&N File No. 0660-0166-0X CONT

By: NFO/DJP/km

Serial No. 09/497.997

In the matter of the Application of: Theresa TERNYNCK et al

For: VECTORS DERIVED FRO

VECTORS DERIVED FROM ANTIBODIES FOR TRANSFERRING SUBSTANCES INTO CELLS

The following has been received in the U.S. Patent Office on the date stamped hereon:

pp. Specification

Claims/Drawings

Sheets

hne

pages Application Data Sheet

□ Combined Declaration, Petition & Power of Attorney

pages

☐ List of Inventor Names and Addresses

Utility Patent Application Transmittal

□ CPA

□ Notice of Priority

□ Priority Doc

■ Check for \$720.00

■ Dep. Acct. Order Form

- □ Fee Transmittal Form
- ☐ Assignment/PTO 1595 pages:
- □ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- Formal Drawings 11 sh
  - 11 sheets Formals for FIGS. 1, 2, 3, 4A, 4B, 5, 6, 7, 8A, 8B, 9A, 9B, 10, 11A-11C & 12.

JAN 3 1 2000

- PTO Cover Letter
- ☐ Amendment and Request for Reconsideration w/Marked-up copy
- □ Information Disclosure Statement

□ PTO-1449

□ Cited Pending

□ Election Response

- □ Cited References
- □ International Search Report
- □ Statement of Relevancy
- □ IDS/Related/List of Related Cases
- □ Restriction Response
- ☐ Rule 132 Declaration
- Request for Extension of Time (Two-Months)
- Notice of Appeal
- □ Brief
- □ Issue Fee Transmittal
- Black and White copies of FIG 13A-13D
- Photographs TWO (2) SHEETS FOR FIGS. 13A-13D

Due Date: January 31, 2002

Docket No.

0660-0166-0X CONT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Theresa TERNYNCK et al

GAU:

1648

SERIAL NO: 09/497,997

**EXAMINER: BROWN** 

FILED:

February 4, 2000

FOR:

VECTORS DERIVED FROM ANTIBODIES FOR TRANSFERRING SUBSTANCES INTO CELLS

### REQUEST FOR EXTENSION OF TIME UNDER 37 C.F.R. 1.136

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

It is hereby requested that a TWO-MONTH extension of time be granted to JANUARY 31, 2002 for

- iling a response to the Official Action dated:
- responding to the requirements in the Notice of Allowability dated:
- ☐ filing the Formal Drawings. The Issue Fee due

has been timely filed.

- responding to the Notice to File Missing Parts of Application dated:
- filing a Notice of Appeal. A timely response to the final rejection, due November 30, 2001

has not been filed.

- ☐ filing an Appeal Brief. A Notice of Appeal was filed on:
- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown below is reduced by one-half.

The required fee of \$400.00 is enclosed herewith by check and any further charges may be made against the Attorney of Record's Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 11/98)

Norman F. Oblon

Registration No. 24,618

Daniel J. Pereira, Ph.D.

Registration No. 45,518

0660-0166-0X CONT Docket No.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Theresa TERNYNCK et al

GAU: 1648

SERIAL NO: 09/497,997

**EXAMINER: BROWN** 

FILED:

FOR:

February 4, 2000

VECTORS DERIVED FROM ANTIBODIES FOR TRANSFERRING SUBSTANCES INTO CELLS

#### NOTICE OF APPEAL

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Applicants hereby appeal to the Board of Appeals from the decision dated August 31, 2001

The items checked below are appropriate:

☐ A Petition for Extension of Time Under 37 C.F.R. §1.136 was filed for

months.

- A timely response to the final rejection has not been filed, as provided in 841 O.G. 1411.
- A Petition for Extension of Time for filing the Notice of Appeal is attached.

Fee: \$320.00

- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- Is Enclosed
- ☐ Charge to Deposit Account No. <u>15-0030</u> (an additional copy of this notice is enclosed herewith).
- Please charge any additional fees or credit any overpayment of fees required for filing the Notice of Appeal to Deposit Account No. 15-0030. A duplicate copy of this Notice is enclosed.
- If this notice is not considered timely filed by the U.S. Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this Notice is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 10/00)

Norman F. Oblon

Registration No. 24,618

Daniel J. Pereira, Ph.D.

Registration No.

261930 OBLON, SPIVAK, McCLELLAND, FIRST UNION NATIONAL BANK VIENNA, VA 68-760/560 261930 Samaler, & NEUSTADT, P.C. 0868 CRYSTAL SQUARE FIVE-SUITE 400 1755 JEFFERSON DAVIS HWY ARLINGTON, VA 22202 SEVEN HUNDRED TWENTY AND 00/100 DOLLARS AMOUNT 🗂 DATE \$720.00 1/31/02 PAY TO THE ORDER OF: COMMISSIONER OF PATENTS & TRADEMARKS VOID IF NOT CASHED WITHIN 90 DAYS 0660-0166-0X CONT **EOT/NA** DOCKET AUTHORIZED SIGNATURE ''OOOOS ? OOOO!' # 261930# #:056007604#2065173481544# HSLSH MZOB DO NOT WRITE, STAMP, OR SIGN BELOW THIS LINE HESLHYED FOR FINANCIAL INSTITUTION USE: COFFECTING 031000011 XPATENT AND TRADEMAN ENDORSE HERE

Dept.: Chemical OSMM&N-File No. 0660-0166-0X CONT By: NFO/DJP/km Serial No. 09/497,997 In the matter of the Application of: Theresa TERNYNCK et al VECTORS DERIVED FROM ANTIBODIES FOR TRANSFERRING For: SUBSTANCES INTO CELLS The following has been received in the U.S. Patent Office on the date stamped hereon: pp. Specification Claims/Drawings Sheets pages Application Data Sheet □ Combined Declaration, Petition & Power of Attorney pages □ List of Inventor Names and Addresses Utility Patent Application Transmittal O CPA □ Notice of Priority ☐ Priority Doc ■ Check for \$1,800.00 ■ Dep. Acct. Order Form ■ Petition under 37 CFR 1.137(B) RECEIVED ■ Sequence Listing (Paper) ■ Computer-Readable Sequence Listing (Diskette) MAR 1 1 2002 □ Letter Requesting Approval of Drawing Changes Formal Drawings OFFICE OF PETITIONS sheets - Formal ■ PTO Cover Letter Amendment and Request for Reconsideration w/Marked-up copy; Abstract attached (5) References attached to the response D PTO-1449 □ Cited References □ International Search Report □ Statement of Relevancy □ Cited Pending Applications □ IDS/Related/List of Related Cases Restriction Response □ Election Response □ Rule 132 Declaration Request for Extension of Time (Third One-Month) □ Notice of Appeal □ Brief ☐ Issue Fee Transmittal □ White Advance Serial Number Card ☐ Small Entity Status is Claimed Due Date: N/A

Docket No.

0660-0166-0X CONT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Theresa TERNYNCK et al

GAU:

1648

SERIAL NO: 09/497,997

**EXAMINER: BROWN** 

FILED:

February 4, 2000

FOR:

VECTORS DERIVED FROM ANTIBODIES FOR TRANSFERRING SUBSTANCES INTO CELLS

## REQUEST FOR EXTENSION OF TIME **UNDER 37 C.F.R. 1.136**

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

It is hereby requested that a THIRD-ONE MONTH extension of time be granted to February 28, 2002 for

- filing a response to the Official Action dated: August 31, 2001
- responding to the requirements in the Notice of Allowability dated:
- ☐ filing the Formal Drawings. The Issue Fee due

has been timely filed.

- responding to the Notice to File Missing Parts of Application dated:
- ☐ filing a Notice of Appeal. A timely response to the final rejection, due

has been filed.

- ☐ filing an Appeal Brief. A Notice of Appeal was filed on:
- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown below is reduced by one-half.

The required fee of \$520.00 is enclosed herewith by check and any further charges may be made against the Attorney of Record's Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Tel. (703) 413-3000

Fax. (703) 413-2220 (OSMMN 11/98)

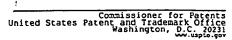
Norman F. Oblon

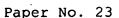
Registration No. 24,618

Daniel J. Pereira, Ph.D.

Registration No. 45,518

OBLON, SPIVAK, McCLELLAND, FIRST UNION NATIONAL BANK MAIER, & NEUSTADT, P.C. VIENNA, VA 68-760/560 CRYSTAL SQUARE FIVE SUITE 400 ONE THOUSAND EIGHT HUNDRED AND 00/100 DOLLARS COMMISSIONER OF PATENTS & TRADEMARKS VOID IF NOT CASHED WITHIN 90 DAYS 0660-0166-0X CONT 0560076041:2065173481544# .,,0000 180000







OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT P C 1755 JEFFERSON DAVIS HIGHWAY FOURTH FLOOR ARLINGTON VA 22202

COPY MAILED

RECEIVED: OBLON, SPIVAK. McCLELLAND MAIER & NEUSTADT, P.C.

JUN 0 4 2002

OFFICE OF PETITIONS

DOCKETING DEPT LOS In re Application of Initials/Date Dock Ternynck, et al. Application No. 09/497,99 Type of Resp(s): \_\_\_\_\_ Filed: February 4, 2000 Due Date(s): \_\_\_\_ Docket No. 0660-0166-OX-CONT Initials/Date Docketed:

ON PETITION

This is a decision on the petition under 37 CFR 1.137(b), f March 11, 2002, to revive the above-identified application.

The petition is GRANTED.

The above-identified application became abanconed for failure to timely file a proper reply to the non-final ('ffice action, mailed August 31, 2001, which set a shortened statu ory period for reply of three (3) months. An earlier Office action had been mailed on July 31, 2001. However, the Office remailed this action on August 31, 2001 and reset the period for response. On January 31, 2002, petitioner obtained a two month extension of time and filed a Notice of Appeal. However, because he claims had not yet been twice rejected, the Notice of Appeal was not a proper reply. No further extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, the above-identified application became abandoned on February 1, 1002. The mailing of the instant decision precedes the mailing of a Notice of Abandonment. Abandonment.

Petitioner has met the requirements to revive the aboveidentified application, pursuant to 37 CFR 1 137(b). Petitioner
submitted the required reply in the form of a amendment, paid
the petition fee, and made a statement of un itentional delay.
37 CFR 1.137(b)(3) requires a statement that the entire delay in
filing the required reply from the due date for the reply until
the filing of a grantable petition pursuant to 37 CFR 1.137(b)
was unintentional. The statement contained in the instant
petition varies from the language required by 37 CFR 1.137(b)(3).
The statement contained in the instant petition is being
construed as the statement required by 37 CFF 1.137(b)(3).
Petitioner must notify the Office if this is not a correct
interpretation of the statement contained in the instant
petition.

Pursuant to 37 CFR 1.136, an extension of time must be filed prior to the expiration of the maximum period obtainable for reply to avoid abandonment. Accordingly, since the \$520 extension of time fee submitted with the petation on March 11, 2002 was subsequent to the maximum period obtainable for reply (February 28, 2002), this fee has been refunded to Deposit Account No. 15-0030.

Application No. 09/497,997

In addition, since the filing of a Notice of Appeal on January 31, 2002 was improper, the \$320 for its filing has been refunded to Deposit Account No. 15-0030.

The application file is being forwarded to Technology Center 1600 for consideration of petitioner's amendment, filed March 11, 2002.

Telephone inquiries regarding this decision should be directed to the undersigned at  $(703)\ 305-0272$ .

Off by

Cliff Congo
Petitions Attorney
Office of Petitions
Office of the Deputy Commissioner
for Patent Examination Policy